

**Request for Proposal
for
Impact Assessment of Projects undertaken by ITC's Social
Investments Programme across India**

Submission Deadline: 17th January 2024

1. Introduction

ITC focuses on contributing enduring value along all dimensions of triple bottom line and also to contribute meaningfully to sustainable development and inclusive growth. ITC's presence across the three sectors of the economy enables the Company to make a larger contribution to the creation of sustainable livelihoods and building resilience among communities in its catchment areas. In continuous efforts to meet ITC's overarching commitment to create significant and sustainable societal value for its stakeholders, **ITC's Social Investments Programmes** are implemented under the banner of **ITC Mission Sunehra Kal (MSK)** with the two-Horizon approach to address the twin challenges of securing sustainable livelihoods today and tomorrow.

The **Horizon-I** programmes, seek to **make today's dominant sources of income sustainable** by empowering rural communities to conserve and augment their social and environmental capital securing agri-production systems and thereby their current sources of livelihood through **Climate Smart Agriculture, Water Stewardship, Biodiversity Conservation, On & Off-farm Livelihood Diversification - Social Forestry and Animal Husbandry** Programmes.

On the other hand, **Horizon-II** programmes invest in **capability building of communities** for opportunities in the future through **Support to Education, Skilling of Youth, Public Health – Sanitation, Waste Management and Mother & Child Health** Programmes.

While women empowerment is a cross cutting focus area across all programmes under the two Horizon approach, specific targeted interventions only for women are planned through **Women Empowerment** programmes under Horizon – II.

All the programmes encompass targeted activities to achieve planned outputs and the **pre-defined outcomes** that **generate long term sustainable impacts**. ITC's various interventions are aligned to **Company's triple bottom-line** ambitions, **Community needs** and **National priorities**. These interventions also contribute towards the national efforts in achievement of **Sustainable Development Goals**.

The projects promoted under ITC's CSR were spread over 200 districts of 25 States/Union Territories in the year 2021-22. These projects are either **supported by ITC alone** or together with the Government under **Public Private Partnerships**. ITC partners with **Project Implementing Agencies (PIAs)** that are **NGOs** and **Civil Societies** for implementation of its projects and reports the progress on pre-defined **Key Performance Indicators (KPI)** for each of the projects.

Details on thematic interventions undertaken by ITC MSK in FY 2021-22 can be accessed in **Sustainability & Integrated Report 2022** under the chapter 'Mission Sunehra Kal for Sustainable & Inclusive Growth' available at –

<https://www.itcportal.com/sustainability/sustainability-integrated-report-2022/ITC-Sustainability-Integrated-Report-2022.pdf>

ITC Mission Sunehra Kal focuses on sustainable and inclusive development through an array of programmes under the two Horizon approach. Through this Request for Proposal (RFP), we invite proposals from qualified and experienced firms to conduct Impact Assessment of each of the identified projects under ITC MSK, details of which are covered in subsequent sections. This RFP outlines the requirements and expectations for conducting impact assessment studies to evaluate the effectiveness and outcomes of the identified projects under MSK.

2. Purpose and Objectives of the Impact Assessment

The purpose of the assignment is to carry out **impact assessment for each of the identified projects** across **nine** thematic interventions implemented during 2021-22, spread across northern, southern and eastern regions of India (detailed list in annexure). The impact assessment will help in establishing whether or not the projects are progressing in right direction, make course corrections if required and devise strategy for replication and amplifying the outcomes at scale.

For clarity of bidding agencies, 'Project' here refers to individual contract signed between ITC and its implementing partner.

The key objectives of the impact assessment include –

- Establishing the effectiveness and efficiency of programme design and implementation
- Quantifying the extent to which the projects have been successful in achieving the intended outcomes
- Capturing the short and long-term direct, indirect, intended and unintended impacts
- Establishing attribution and contribution of the projects
- Identifying and capturing success stories, challenges, and areas for improvement
- Providing actionable recommendations to enhance the effectiveness of future programmes

3. Scope of Work

The impact assessment will measure the social, economic, and environmental impact of each of the projects on the target communities. The study will analyze both quantitative and qualitative data to provide a holistic understanding of the outcomes. The detailed **scope of work** under the study includes –

- a) Preliminary field visit to 1-2 project locations, review of secondary literature and key stakeholder discussions to develop understanding on the projects.
- b) Finalization of key evaluation indicators and development of quantitative & qualitative data collection tools that can be used for periodical impact assessments for each of the thematic areas - Climate Smart Agriculture, Water Stewardship & Biodiversity Conservation, Social Forestry, Animal Husbandry, Support to Education, School WASH, Skilling of Youth, Sanitation, Solid Waste Management, Mother & Child Health and Nutrition and Women Empowerment, in consultation with ITC team. Tentative list of key impact indicators and key information areas is provided in **Annexure-1**, which is to be further developed by the agency.
- c) Identification and mapping of key stakeholders in each theme as well as ensuring coverage of all relevant stakeholders like farmers, children, women, government officials, NGOs, etc. in sampling for each project.
- d) Preparation of inception report comprising of final approach & methodology, sampling plan (project and control), implementation plan (with timelines), data collection tools for assessment of each of the projects etc.
- e) Project-wise assessment of the work done by implementing partners in field during 2021-22 based on primary data collection (using quantitative and qualitative methods) as well as verification of secondary data available with state teams and implementing partners.

- f) Triangulation of findings using quantitative and qualitative methods and validating the same with government data and other secondary data wherever possible.
- g) Assessment of effectiveness, efficiency, impact and sustainability of each of the projects.
- h) Documentation of success stories highlighting what worked and why, using most significant change and other qualitative methods. At least **3 case studies / stories of change per theme** to be documented.
- i) Analysis of quantitative and qualitative data using appropriate tools and techniques and presentation of findings and recommendation for each project. The findings are to be presented **separately for each theme**, covering **all the projects** under them, **compiled together in a report**.

List of projects is provided in **Annexure-2**. Interested agency may propose to either bid for all projects across themes and locations or may choose only specific thematic and geographical areas.

4. Approach & Methodology

The agency will propose a suitable approach and methodology for the assignment including sampling plan, research tools and techniques, data collection & analysis, etc.

The methodology must involve **mixed-methods** combining **quantitative and qualitative** data collection techniques. The quantitative phase will use a sample size determined at a **95% Confidence Interval** and **5% Margin of Error for each project** to ensure statistical reliability. The qualitative phase will involve **interviews, focus group discussions, observation checklist, and case studies** to gather in-depth insights. **Data Triangulation** to be ensured to enhance the robustness of findings. The sampling plan must ensure coverage of **adequate SC/ST and women representation** in the sample. The sampling of beneficiaries will be done from the master-list provided for each project. Tentative list of Key Stakeholders is provided in **Annexure-3**.

The agency will propose a methodology for selection of true control population possessing **comparable demographic and other characteristics**. Also, **selection of control location should consider avoidance of spill over effect**.

The agency to use **Computer Aided Personal Interviews (CAPI)** based method for data collection and engage **data collectors who are conversant in English and local language** of the region.

The data collection tools are to be **translated in local language** for **data collection** and **transcripts of group discussions to be provided in English to ITC**.

The agency is required to propose **difference-in-difference, pre-post analysis** and other appropriate methods to be used by the agency for analysis of the findings. The agency will use **baseline data wherever available** for pre-post analysis. Recall method, secondary literature and control data also to be used for pre-post or project-control comparisons.

5. Implementation Plan

The agency is required to propose a **detailed implementation plan** for the assignment. It must be noted that implementation plan should account for **geographical distribution** of the sample to be covered. The agency must account for a **preliminary visit** to the project areas to understand

the programmes in detail. Based on the observations, the agency may develop the study methodology, sampling methodology, tools for field interviews & discussions and logistics (translator etc.). The timeline must include **tool development, piloting of tools, data collection, analysis, validation, and reporting stages.**

6. Team Composition

The agency is to deploy a gender balanced team and present the structure of the team proposed for the assignment with snapshot of their profiles covering years of experience, references to experience in conducting similar such studies, areas of expertise, roles and responsibilities assigned to each of the team members. Detailed CVs are to be attached as annexure.

7. Experience & Expertise of Organisation and Team

- The agency should have prior experience in undertaking impact assessment studies the relevant thematic areas as given in this document, as well as in data collection, collation, compilation and analysis for CSR interventions.
- The agency should deploy a gender balanced team, having experience and expertise in carrying out assignments of similar nature with the team leader having strong Impact Assessment experience.
- The agency should have expertise in quantitative research methodologies, including sample size determination and statistical analysis as well as qualitative research techniques, including interviews and focus groups.
- The agency should have experience in using Computer Aided Personal Interviews (CAPI) based method for data collection.

8. Expected Deliverables

- Inception report to be shared within 2 weeks from the date of signing the contract in consultation with ITC.
- Data collection tools, both in soft copy as well as through CAPI compatible file.
- Time to time sharing of emerging data trends and findings from field with ITC.
- Raw data files from field to be submitted in excel along with all analytical tables.
- Transcripts to be provided in English.
- Draft reports covering all scope of work as mentioned in preceding sections, and final reports after addressing the comments received by ITC, if any, to be submitted for all projects.
- Executive Summary to be provided in the report as well as separately in the prescribed format, which will be shared at a later stage.
- The final study report covering findings from each thematic group and all projects will be submitted in 2 hard copies (A4 Size preferably with bond paper and coloured prints) and soft copy in form of CDs/pen drives. The agency to deliver a final presentation to ITC explaining the findings, recommendations and way forward for ITC based on the study.
- The data and information collected during the study, including photographs / testimonials, will be the property of ITC Limited and the agency shall not use it in any form without the prior written permission from a competent authority in ITC.

- The final reports (accepted by ITC) and all the deliverables to be submitted by agency to ITC **on or before 30th March 2024.**

9. Evaluation and Selection Process

Proposals will be evaluated on their technical soundness and cost competitiveness following a 70:30 Quality-cum Cost Based System (QCBS). Some of the evaluation criteria will include –

- Understanding of the scope of work
- **Demonstrated experience** in conducting impact assessments for CSR programs
- Soundness of the mixed-method approach
- Expertise of a **gender balanced** team in both quantitative and qualitative research and relevant thematic domains
- **Competitive pricing** aligned with the proposed scope of work

Note: Based on technical and financial evaluation of all the proposals received, only shortlisted agency will be contacted for further rounds of discussions.

10. Payment Conditions

The payment of fees will be made on job completed basis of the agreed sum, subject to achievement of mutually agreed progress milestones. The agency may submit its proposal on terms and conditions for payment.

11. Rejection Clause

ITC reserves the right to accept or reject any and all proposals, to negotiate contract terms with various proposers, and to waive requirements at its sole discretion.

ITC also reserves the right to reject the offer without assigning any reason if found that the party has submitted false information or found to promote vendors. ITC also reserves the right to restrict the scope of the assessment for any agency to specific thematic interventions and geographies.

12. Proposal Submission Requirements

Interested parties must submit their proposals by **17th January 2024** via email to itcmsk@itc.in. The agency has to submit technical and financial proposal in line with the formats given in **Annexure-4** and **Annexure-5**, respectively of this document.

Proposals **must not** be password protected. Any additional documents must be clearly labelled and attached.

13. Contact Details

For inquiries and clarifications related to this RFP, please write at itcmsk@itc.in

14. Annexures

Annexure 1 – Key Impact Indicators and tentative list of Key Information Areas

Annexure 2 – List of Projects: Scale and Geographical Spread

Annexure 3 – List of Key Stakeholders

Annexure 4 – Format for Technical Proposal

Annexure 5 – Format for Financial Proposal

Annexure 1 – Key Impact Indicators and Key Information Areas

Presented ahead are the key indicators that are to be covered under the impact assessment. Impact indicators are to be further added to this by the agency.

Climate Smart Agriculture

S. No		UoM	Before	After	Control	Impact against baseline	Impact against control
1	Impact on Productivity due to PoP adoption						
	Crop-1						
	Per Acre	Q/acre					
	For total covered area (statistical extrapolation)	Total quintals					
	Crop-2						
	Per Acre	Q/acre					
	For total covered area (statistical extrapolation)	Total quintals					
2	Impact on cost of cultivation due to PoP adoption						
	Crop-1						
	Per Acre	Rs./acre					
	For total covered area (statistical extrapolation)	Total Rs.					
	Crop-2						
	Per Acre	Rs./acre					
	For total covered area (statistical extrapolation)	Total Rs.					
3	Impact on gross income due to PoP adoption						
	Crop-1						
	Per Acre	Rs./acre					
	For total covered area (statistical extrapolation)	Total Rs.					
	Crop-2						
	Per Acre	Rs./acre					
	For total covered area (statistical extrapolation)	Total Rs.					
4	Impact on area covered by water use efficient practices in programme catchment						
	Micro irrigation - drip	acre					
	Micro irrigation - sprinkler	acre					
	DSR	acre					
	Zero tillage in wheat	acre					
	Others -1	acre					
	Others -2	acre					
	Others -3	acre					

Water Stewardship

S. No		UoM	Before	After	Control	Impact against baseline	Impact against control
1	Impact on area under cultivation						
	Impact per beneficiary						
	Total additional area (statistical extrapolation)	Acres/farmer					
2	Impact on productivity due to access to water						
2.a	Crop-1						
	Per Acre	Q/acre					
	For total covered area (statistical extrapolation)	Total quintals					
2.b	Crop-2						
	Per Acre	Q/acre					
	For total covered area (statistical extrapolation)	Total quintals					
3	Impact on cost of cultivation due to access to water						
3.a.	Crop-1						
	Per Acre	Rs./acre					
	For total covered area (statistical extrapolation)	Total Rs.					
3.b.	Crop-2						
	Per Acre	Rs./acre					
	For total covered area (statistical extrapolation)	Total Rs.					
4	Impact on Groundwater resources						
	Groundwater depth (same season comparison)	metres from surface					

On-farm Livelihood Diversification: Social Forestry

S. No.		UoM	% Survival				
			Before	After	Control	Impact against baseline	Impact against control
1	Survival of plantations done last year - plantation model wise						
	Model-1						
	Model-2						
	Model-3						
		UoM	Before	After	Control	Impact against baseline	Impact against control
2	Impact of wood harvest from older plantations - Plantation model wise						
	Yield	ton/acre					
	Yield/family	ton/family					
	Wood price	Rs./ton					
	Family income from wood sale	Rs./family					
	Total income from wood sale in the year (statistical extrapolation)	Rs.					
	Income from intercrop/acre	Rs./acre					
	Income from intercrop/family	Rs./family					
3	Purposes for which wood was sold						
	Pulp	Tons					
	Energy purposes	Tons					
	Agarbatti sticks	Tons					
	Others - specify	Tons					
Plantation model examples: Eucalyptus Agro-forestry, eucalyptus block, melia dubia - bund plantation etc.							

Support to Education

S. No.		UoM	Before	After	Control
1	Impact -RIP + SLC+ Teachers Training				
1.1	School Enrolment	No.			
1.2	Out of school children (6- 14 yrs)	No.			
1.3	Dropout rate (6- 14 yrs)				
1.4	Dropout rate- High school (9th & 10th)	%			
1.5	Dropout rate- intermediate (11th & 12th)	%			
1.6	Learning levels	No.			

*RIP: Read India Programme **SLC: Supplementary Learning Centre

Skilling of Youth

S. No	Indicators	
1	First generation candidate got into formal employment after VT programme	
1.1	Total candidates	
1.2	% of total placed candidates	
2	Income level of family of VT candidate placed	Rs/Month
2.1	Before	
2.2	After	

School WASH

S. No		UoM	Before	After	Control
	SDMC activation and maintenance				
1.1	Cleaning of toilets through toilet cleaner	per week			
1.2	Maintenance fund generated	Rs			
1.3	Fund mobilisation by SDMC for school				
2	Retention in school	No.			
2.1	Enrolment and drop outs (6-14 yrs) - Total	No.			
2.3	Enrolment and drop outs (6-14 yrs) - Girls	No.			

Solid Waste Management

S. No		UoM	Before	After	Control
1	Waste to landfill	MT			
2	Infectious disease	No. of cases			
3	Waste Management cost to ULB	Rs/annum			

Mother and Child Health

	Before	After	Control	NFHS-V
Maternal Health Status				
Pregnant Women registered for ANC in the 1st trimester				
Pregnant Women received 4 ANC check-up				
Institutional deliveries				
Married & unmarried women (10-19 years) with low BMI				
Married couple adopted modern method of contraception				
Child Health				
New-born having weight less than 2.5 kg				
Infants with full immunization (9 - 11 months)				
Underweight children <5yrs				
Adolescent Health & Nutrition				
Adolescent Girls Marriage (10-18 yrs)				
Women childbearing <20 years				
U5 Mortality Rate				
IMR				
MMR				

Women Empowerment

S. No.		UoM	Before	After	Control
1	Livelihood Created				
1.1	Income	Rs/yr			
1.2	Assets Value (THP)	Rs			
2	Financial / Social Security Schemes Linkages				
2.1	Member of SHGs	No.			
2.2	Women with individual bank account	No.			
2.3	Women member doing Saving/Investment (RD/FD/SSY/PPF)	No.			
2.4	Average number of govt schemes beneficiary is availing	No.			
2.5	Atal Pension Yojana (APY) /NPS	No.			
2.6	Pradhanmantri Suraksha Bima Yojna (PMSBY)	No.			
2.7	Pradhan Mantri Jeevan Jyoti Bima Yojana (PMJJBY)	No.			

Presented ahead is tentative list of **Key Information Areas** that are to be **built further by the agency** to establish **attribution and contribution** of programmes through **pre-post and control comparisons**.

Themes	Key Information Areas
Climate Smart Agriculture	<ul style="list-style-type: none"> • Demographic details including livelihood and migration • Access to basic amenities • Asset ownership • Household Income • Agricultural land – owned, leased in and out, cultivated, fallow, etc. • Irrigation details – area, source, frequency for each crop, etc. • Crops produced – season wise • Package of Practices (PoPs) used in each crop • Productivity of each crop (Sustainable PoPs v/s traditional or control) • Gross and Net income from agriculture (Sustainable PoPs v/s traditional or control) • Cost of Cultivation (Sustainable PoPs v/s traditional or control) • Sale and consumption of produce for each crop – quantities and rate • Market linkage – place of selling, distance to market, issues & challenges, etc. • Linkage and use of e-platforms like e-NAM, e-choupal, etc. • Membership – Farmer Field Schools, Agri-business Centres, other Farmer groups, etc. • Benefits and role of Farmer Field Schools (demonstration and training on PoPs, etc.) • Benefits and role of Agri-business centres (Equipment hiring, etc.) • Benefits received to Agri-business Centre members (exclusive women ABCs and other ABCs) • Livestock ownership • Social Security Scheme linkages (PM KISAN, Kisan Credit Card, Soil Health Card, Crop Insurance, Pension Scheme, Selling through institutional channels like e-NAM, etc.) – awareness and adoption • Food & Nutrition security of Farmer HHs (dietary diversity) • Other impacts – water availability for irrigation, quality of produce, area covered under irrigation, soil erosion, migration, soil health, intercropping, multi-cropping, etc.

Themes	Key Information Areas
Water Stewardship and Biodiversity Conservation	<ul style="list-style-type: none"> • Demographic details including livelihood and migration • Access to basic amenities • Asset ownership • Household Income • Agricultural land – owned, leased in and out, cultivated, fallow, etc. • Irrigation details – area, source, frequency for each crop, etc. • Water harvesting structures – type, access, management, benefit from it, etc. • Availability of water for agriculture • Area under cultivation (impact due to availability of water) • Crops grown season wise • Package of Practices (PoPs) used in each crop to reduce demand for water in agriculture • Productivity of each crop (impact due to water efficient practices and availability & access to water) • Cost of Cultivation (impact due to water efficient practices and availability & access to water) • Ground water resources (ground water depth in same season) • Membership – Farmer Field Schools, Water User Groups, etc. • Benefits and role of Water User Groups • Women in Water User Groups • Other impacts – water availability for irrigation, quality of produce, area covered under irrigation, soil erosion, migration, soil health, intercropping, multi-cropping, etc.
On-farm Livelihood Diversification: Social Forestry	<ul style="list-style-type: none"> • Demographic details including livelihood and migration • Access to basic amenities • Asset ownership • Household Income • Agricultural land – owned, leased in and out, cultivated, fallow, etc. • Plantation area, type, variety and model • Intercropping details – area, crops, etc. • Survival rate of plantations • Wood Harvested – yield per acre • Sale of harvested wood – buyers, price, other benefits, etc. • Purpose for which wood was sold – pulp, energy, agarbatti, etc. • Income from sale of harvested wood • Benefits from intercropping • Fuels savings - wood from energy plantation • Membership – Plantation Committees, Farmer Groups, etc. • Benefits and role of Plantation Committees (Vanikaran Sanghas) • Women in Plantation committees • Food & Nutrition security of Farmer HHs (dietary diversity) • Other impacts – soil erosion, migration, soil health, intercropping, multi-cropping, etc.
Public Health: Sanitation (Individual Household Toilets and Community Toilets)	<ul style="list-style-type: none"> • Demographic details • Access to basic amenities – toilets, drinking water, electricity, healthcare, etc. • Prevalence of communicable diseases • Expenditure on healthcare • Knowledge, attitude and practice on WASH practices • Access to toilet – individual household toilet, shared toilet, community toilet, etc. • Source of water in toilets • Single pit/ Twin pit toilets • Usage of toilets – frequency and by whom (men, women, children, elderly, etc.) • Cleaning of toilets – frequency and use of toilet cleaner • Membership of Community Toilet Mohalla Committee • Women in such Mohalla committees

Themes	Key Information Areas
	<ul style="list-style-type: none"> • Role of Mohalla Committees • Operations & Maintenance of Community Toilets
Public Health: Solid Waste Management	<ul style="list-style-type: none"> • Demographic details • Access to basic amenities – toilets, drinking water, electricity, healthcare, waste management system, drains, etc. • Prevalence of communicable diseases • Expenditure on healthcare • Knowledge, attitude and practice on waste management and disposal – source segregation, home composting, dumping of waste, etc. • Perceived impact on environment and surroundings • Membership of Mohalla Committee • Women in Mohalla committees • Role of Mohalla Committees • Payment of User Fee for waste collection • Impact on lives of waste workers – additional income from recyclables, monthly earnings, etc.
Public Health: Mother & Child Health and Nutrition	<ul style="list-style-type: none"> • Demographic details • Access to basic amenities – toilets, drinking water, electricity, healthcare, waste management system, drains, etc. • Access to healthcare – PHC, CHC, District Hospital, etc. • Knowledge, attitude and practice of beneficiary women on immunization, nutrition, ANC/PNC, exclusive breastfeeding etc. • Trainings imparted to Anganwadi Workers – quality, content, perceived benefits, further need, etc. • Knowledge, attitude and practice promoted by AWWs – home visits, growth monitoring etc. • Child malnutrition data from AWWs – number, proportion, steps taken, etc. • Weight at child birth • Data on institutional deliveries, ANC, PNC, etc.- number, proportion • Adolescent girls married below 18 years of age • Pregnant women below 20 years of age • U5 mortality rate
Support to Education (learning outcomes, school WASH infra and other infra support)	<ul style="list-style-type: none"> • Demographic profile of families whose children benefitted through Supplementary Learning Centres (SLCs) – SC/ST, Women headed, household income, livelihood, migration, etc. • Children enrolled in SLCs: boys-girls ratio, age-group • Attendance of Children in SLCs, children mainstreamed to regular education system, etc. • Impact on lives of children mainstreamed – children and parents • Learning levels of children supported under the programme • Basic amenities in schools and anganwadis – separate toilets, drinking water, incinerators, kitchen, boundary walls, digital learning systems, etc. • Toilet to children ratio, Children classroom ratio, Children teacher ratio • Impact on Enrolment rate, drop-out rate, due to Infra support • Out of school children • Child cabinet in schools – role • Mothers' Groups – role • Awareness and practice among children on hygiene practices • School Management and Development Committees – active/inactive, composition, role & responsibilities, funds mobilised • Cleaning of toilets in schools – frequency, responsibility, etc. • Operation & Maintenance of WASH infra in schools and anganwadis • Maintenance fund generated
Skilling of Youth	<ul style="list-style-type: none"> • Demographic details of Household including livelihood and migration • Access to basic amenities • Asset ownership

Themes	Key Information Areas
	<ul style="list-style-type: none"> • Household Income – livelihood of parents • Mobilisation activities undertaken • Perception of candidates on training – quality, content, mode, placement, etc. • Placement mechanism in place and its effectiveness • Trade-wise average salary • Before-after salary of candidates • Impact on HH income, participation in decision making at household and community, social standing, quality of life, confidence, HH assets etc. • Women coverage and gender lens on impacts • Challenges and issues faced • Future expectations • Food & Nutrition security of HHs (dietary diversity)
<p>Women Empowerment (Self-help Groups, THP, FL)</p>	<p>Self Help Groups</p> <ul style="list-style-type: none"> • Demographic details including livelihood and migration • Access to basic amenities – sanitation, healthcare, electricity, education, drinking water, etc. • SHG Membership • Corpus fund, savings through SHGs, bank accounts, book keeping, etc. • Self-employed – type, support received from SHGs • Loan taken from SHG and purpose • Perceived benefits of SHGs <p>Targeting Hardcore Poor</p> <ul style="list-style-type: none"> • Demographic details including livelihood and migration • Access to basic amenities – sanitation, healthcare, electricity, education, drinking water, etc. • Education, health and sanitation status • Access to toilets and its usage • Kitchen garden • Using safe water for domestic use • Asset ownership • SHG linkage • Income • Assets received under programme for enterprise - value, asset diversified • Financial Linkages – bank accounts, credits, etc. • Social Security scheme linkage • Education for children • Food & Nutrition security <p>Financial Literacy Programme</p> <ul style="list-style-type: none"> • Demographic details including livelihood and migration • Education, health and sanitation status • Access to toilets and its usage • SHG linkage • Income and savings – Knowledge, Attitude and Practices • Financial Literacy training received and its benefits • Financial Linkages – bank accounts, credits, etc. • Social Security scheme linkage • Role of Yojana Sakhis • Income Enhancement for Yojana Sakhis

Annexure 2 – List of Projects: Scale and Geographical Spread

Presented ahead is a snapshot of the geographical spread of the projects being considered for impact assessment under this study. The projects are spread across 16 states and 37 districts.

S. No.	State	District	S. No.	State	District
1	Andhra Pradesh	East Godavari	8	Madhya Pradesh	Chhindwara
		Guntur			Indore
		Prakasam			Sehore
					Datia
					Katni
					Shajapur
		West Godavari	9	Punjab	Kapurthala
2	Assam	Darrang	10	Rajasthan	Baran
		Dhubri			Bikaner
		Kamrup			Bundi
3	Bihar	Begusarai			Jaisalmer
		Katihar			Kota
		Munger			Pali
4	Jammu & Kashmir	Jammu	11	Telangana	Bhadradri Kottagudam
5	Jharkhand	Pakur	12	Tamil Nadu	Coimbatore
6	Karnataka	Hassan			
		Kolar	13	Tripura	West Tripura
		Mysore	14	Uttarakhand	Haridwar
7	Maharashtra	Ahmednagar	15	Uttar Pradesh	Saharanpur
		Amravati	16	West Bengal	Hooghly
		Chandrapur			Kolkata
		Pune			

Given below is the list of projects for which impact assessment is to be carried out. Interested agency may propose to either bid for all projects across themes and locations or may choose only specific thematic and geographical areas.

S. No.	Zone	State	District	Theme	NGO	Project Code	Beneficiary/ HH Covered	Proposed Sample Size	Proposed Control Sample	FGD	IDI
1	North	MP	Chhindwara	Climate Smart Agriculture	IDYWC	6	728				
2	South	AP	Prakasam	Climate Smart Agriculture	SEARCH	14	2,031				
3	South	KN	Mysore	Climate Smart Agriculture	MYKAPS	15	1,182				
4	North	MP	Indore	Climate Smart Agriculture	DSC	18	1,339				
5	South	AP	Guntur	Climate Smart Agriculture	MYRADA	37	1,085				
6	South	AP	Prakasam	Climate Smart Agriculture	MYRADA	67	1,458				
7	South	KN	Hassan	Climate Smart Agriculture	BAIF	81	1,447				
8	East	BH	Munger	Climate Smart Agriculture	Pravah	104	32,819				
9	North	RAJ	Pali	Climate Smart Agriculture	SMGVS	109	950				
10	South	AP	West Godavari	Climate Smart Agriculture	BAIF	130	650				
11	North	RAJ	Kota	Climate Smart Agriculture	NCHSE	139	2,918				
12	North	MH	Pune	Climate Smart Agriculture	AFARM	143	6,001				
13	North	Punjab	Kapurthala	Climate Smart Agriculture	MVS	154	15,914				
14	South	Telangana	Bhadradi Kottagudam	Climate Smart Agriculture	SSGS	157	1,107				
15	North	MH	Ahmednagar	Climate Smart Agriculture	BAIF	162	6,793				
16	North	MH	Amravati	Climate Smart Agriculture	BAIF	162	8,700				
17	South	Tamil Nadu	Coimbatore	Climate Smart Agriculture	COODU	182	931				
18	South	Telangana	Bhadradi Kottagudam	Climate Smart Agriculture	DHAN	188	217				
19	North	MH	Pune	Climate Smart Agriculture	DSC	202	5,675				
20	North	MP	Sehore	Climate Smart Agriculture	SIPA	231	7,375				
21	East	Assam	Darrang	Climate Smart Agriculture	SESTA	244	4,425				
22	South	KN	Kolar	Climate Smart Agriculture	MYRADA	251	2,578				
23	East	BH	Begusarai	Climate Smart Agriculture	SSSS	270	6,110				

S. No.	Zone	State	District	Theme	NGO	Project Code	Beneficiary/ HH Covered	Proposed Sample Size	Proposed Control Sample	FGD	IDI
24	East	BH	Katihar	Climate Smart Agriculture	SSSS	270	7,050				
25	East	Jharkhand	Pakur	Climate Smart Agriculture	SSSS	270	6,600				
26	East	Assam	Darrang	Climate Smart Agriculture	RGVN	272	7,250				
27	East	Assam	Dhubri	Climate Smart Agriculture	RGVN	272	5,825				
28	North	RAJ	Bundi	Climate Smart Agriculture	SMGVS	273	3,346				
29	North	MP	Sehore	Climate Smart Agriculture	NCHSE	277	79				
30	South	Tamil Nadu	Pududkottai	Climate Smart Agriculture	DHAN	289	887				
31	North	RAJ	Bikaner	Climate Smart Agriculture	BAIF	301	616				
32	South	Telangana	Bhadradi Kottagudam	Climate Smart Agriculture	MYRADA	328	822				
33	East	WB	Kolkata	Health & Sanitation - Community Toilet	WFP	147	2,481				
34	South	Tamil Nadu	Coimbatore	Health & Sanitation - IHHT	RDO	304	300				
35	North	UK	Haridwar	Health & Sanitation - School WASH	Adarsh	97	2,406				
36	East	WB	Hooghly	Health & Sanitation - School WASH	WFP	147	3,862				
37	East	BH	Munger	Health & Sanitation - School WASH	WASH	205	5,359				
38	South	Telangana	Bhadradi Kottagudam	Health & Sanitation - School WASH	WASH	210	4,927				
39	North	Punjab	Kapurthala	Health & Sanitation - School WASH	FINISH	217	2,250				
40	North	UP	Saharanpur	Health & Sanitation - School WASH	WASH	256	16,354				
41	South	AP	Prakasam	Health & Sanitation - School WASH	FINISH	287	1,731				
42	South	AP	East Godavari	Health & Sanitation - School WASH	WASH	299	2,035				
43	East	Assam	Kamrup	Health & Sanitation - School WASH	FXBIS	317	4,624				
44	East	WB	Hooghly	Mother & Child Health	Youth Invest	280	4,47,406				
45	East	WB	Kolkata	Skilling of Youth	D B Tech	95	441				
46	North	J & K	Jammu	Skilling of Youth	D B Tech	136	54				
47	East	Assam	Kamrup	Skilling of Youth	Anudip	170	356				

S. No.	Zone	State	District	Theme	NGO	Project Code	Beneficiary/ HH Covered	Proposed Sample Size	Proposed Control Sample	FGD	IDI
48	South	Tamil Nadu	Pudukottai	Skilling of Youth	BLS	283	340				
49	North	MP	Sehore	Skilling of Youth	Pratham	94	456				
50	South	AP	Guntur	Skilling of Youth	Pratham	94	661				
51	South	AP	Prakasam	Social Forestry	MYRADA	67	2,342				
52	South	KN	Hassan	Social Forestry	BAIF	81	1,536				
53	South	AP	West Godavari	Social Forestry	BAIF	130	508				
54	South	Telangana	Bhadradi Kottagudam	Social Forestry	SSGS	157	164				
55	North	MH	Chandrapur	Social Forestry	BAIF	162	120				
56	South	Telangana	Bhadradi Kottagudam	Social Forestry	DHAN	188	111				
57	East	Tripura	West Tripura	Social Forestry	SESTA	244	50				
58	South	Telangana	Bhadradi Kottagudam	Social Forestry	MYRADA	328	63				
59	North	UP	Saharanpur	Solid Waste Management	Umang	164	82,640				
60	East	BH	Munger	Solid Waste Management	WASH	205	-				
61	South	Telangana	Bhadradi Kottagudam	Solid Waste Management	WASH	210	2,25,188				
62	North	Punjab	Kapurthala	Solid Waste Management	FINISH	217	25,151				
63	South	AP	Guntur	Solid Waste Management	FINISH	287	1,61,447				
64	South	Tamil Nadu	Coimbatore	Solid Waste Management	RDO	304	24,750				
65	North	UK	Haridwar	Support to Education - Infra Support	Adarsh	97	-				
66	East	BH	Munger	Support to Education - Infra Support	WASH	205	-				
67	South	Telangana	Bhadradi Kottagudam	Support to Education - Infra Support	WASH	210	-				
68	South	AP	Prakasam	Support to Education - Infra Support	FINISH	287	-				
69	South	AP	East Godavari	Support to Education - Infra Support	WASH	299	-				
70	South	Tamil Nadu	Coimbatore	Support to Education - Infra Support	RDO	304	-				

S. No.	Zone	State	District	Theme	NGO	Project Code	Beneficiary/ HH Covered	Proposed Sample Size	Proposed Control Sample	FGD	IDI
71	East	Assam	Kamrup	Support to Education – Infra Support	FXBIS	317	-				
72	North	Punjab	Kapurthala	Support to Education – Infra Support	FINISH	217	-				
73	North	Uttarakhand	Haridwar	Support to Education - Read India Plus	Pratham	91	3,557				
74	South	Karnataka	Mysuru	Support to Education - Read India Plus	Pratham	91	3,895				
75	South	Tamil Nadu	Coimbatore	Support to Education - Read India Plus	Pratham	91	1,540				
76	North	MP	Chhindwara	Water Stewardship	IDYWC	6	612				
77	South	AP	Prakasam	Water Stewardship	SEARCH	14	1,012				
78	South	KN	Mysore	Water Stewardship	MYKAPS	15	1,274				
79	North	MP	Indore	Water Stewardship	DSC	18	573				
80	South	AP	Guntur	Water Stewardship	MYRADA	37	505				
81	South	AP	Prakasam	Water Stewardship	MYRADA	67	546				
82	South	KN	Hassan	Water Stewardship	BAIF	81	744				
83	North	RAJ	Pali	Water Stewardship	SMGVS	109	308				
84	South	AP	West Godavari	Water Stewardship	BAIF	130	592				
85	North	RAJ	Kota	Water Stewardship	NCHSE	139	299				
86	North	MH	Pune	Water Stewardship	AFARM	143	351				
87	North	Punjab	Kapurthala	Water Stewardship	MVS	154	65				
88	South	Telangana	Bhadradi Kottagudam	Water Stewardship - Biodiversity	SSGS	157	-				
89	North	MH	Ahmednagar	Water Stewardship	BAIF	162	252				
90	South	Tamil Nadu	Coimbatore	Water Stewardship	COODU	182	633				
91	South	Telangana	Bhadradi Kottagudam	Water Stewardship	DHAN	188	399				
92	North	MH	Pune	Water Stewardship	DSC	202	294				
93	North	MP	Sehore	Water Stewardship	SIPA	231	520				
94	East	Assam	Darrang	Water Stewardship	SESTA	244	69				
95	South	KN	Kolar	Water Stewardship	MYRADA	251	54				

S. No.	Zone	State	District	Theme	NGO	Project Code	Beneficiary/ HH Covered	Proposed Sample Size	Proposed Control Sample	FGD	IDI
96	North	RAJ	Bundi	Water Stewardship	SMGVS	273	213				
97	North	MP	Sehore	Water Stewardship	NCHSE	277	233				
98	South	Tamil Nadu	Pududkottai	Water Stewardship	DHAN	289	1,672				
99	North	RAJ	Bikaner	Water Stewardship	BAIF	301	188				
100	North	RAJ	Baran	Water Stewardship - Biodiversity	FES	314	-				
101	North	RAJ	Bikaner	Water Stewardship - Biodiversity	FES	314	-				
102	North	RAJ	Jaisalmer	Water Stewardship - Biodiversity	FES	314	-				
103	North	RAJ	Kota	Water Stewardship - Biodiversity	FES	314	-				
104	South	Telangana	Bhadradi Kottagudam	Water Stewardship	MYRADA	328	640				
105	South	KN	Mysore	WE - Self Help Group	MYKAPS	15	23				
106	South	KN	Hassan	WE - Self Help Group	BAIF	81	-				
107	North	UK	Haridwar	WE - Self Help Group	Adarsh	97	528				
108	North	RAJ	Kota	WE - Self Help Group	NCHSE	139	244				
109	North	RAJ	Bundi	WE - Self Help Group	SMGVS	273	117				
110	South	Tamil Nadu	Coimbatore	WE - Self Help Group	RDO	304	365				
111	North	MP	Damoh	WE-Targeting Hardcore Poor	Bandhan	330	800				
112	North	UP	Saharanpur	WE-Targeting Hardcore Poor	Bandhan	330	800				
113	South	Telangana	Bhadradi Kottagudam	WE-Targeting Hardcore Poor	Bandhan	330	1,000				
114	North	MP	Datia	WE-Financial Literacy	NCHSE	255	11,142				
115	North	MP	Katni	WE-Financial Literacy	NCHSE	255	22,400				
116	South	MP	Shajapur	WE-Financial Literacy	NCHSE	255	6,401				

Annexure 3 – List of Key Stakeholders

Identification of key stakeholders under each theme and each project is to be done by the agency, building upon the list provided below. All following and other identified stakeholders are to be covered under the study through qualitative and quantitative surveys.

Themes	Key Stakeholders
Climate Smart Agriculture	<ul style="list-style-type: none"> • Agriculture Department • Krishi Vigyan Kendra • Implementing Partner • Panchayati Raj Institution (PRI) • Farmer Field Schools • Agri Business Centres • Member and Student Farmer Beneficiaries (primary stakeholder) etc.
Water Stewardship and Biodiversity Conservation	<ul style="list-style-type: none"> • Water Resources Department • Pastureland Development Department • Forest Department • Implementing Partner • PRI members • Water User Groups/ Associations • Farmer Field Schools (for adoption of water use efficient practices in agriculture) • Farmer Beneficiaries (primary stakeholder), etc.
On-farm Livelihood Diversification: Social Forestry	<ul style="list-style-type: none"> • Plantation Committee • Panchayati Raj Institution (PRI) • Implementing Partner • Farmer Beneficiaries (primary stakeholder), etc.
Public Health: Sanitation (Individual Household Toilets and Community Toilets)	<ul style="list-style-type: none"> • State Mission Directors (SBM) • Panchayati Raj Institution (PRI) • Community Toilet Mohalla Committees • Implementing Partner • Community Toilet Beneficiaries (primary stakeholder) • Individual Household Beneficiaries for IHHT (primary stakeholder) • Anganwadis and nearby community for WASH Awareness campaigns (primary stakeholder), etc.
Public Health: Solid Waste Management	<ul style="list-style-type: none"> • Zila Panchayat • Municipal Corporation • Panchayati Raj Institution (PRI) • Mohalla Committees • Implementing Partner • Households (primary stakeholder), etc.
Public Health: Mother & Child Health and Nutrition	<ul style="list-style-type: none"> • Women and Child Development Department (ICDS officials) • Block Development Officer • Panchayati Raj Institution (PRI) • Implementing Partner • Front Line Workers – Anganwadi workers • Community members – women and adolescents (primary stakeholder), etc.
Support to Education (learning outcomes, school WASH infra	<ul style="list-style-type: none"> • Department of Education • Women and Child Development Department (including ICDS) • Block Development Officer

and other infra support)	<ul style="list-style-type: none"> • Panchayati Raj Institution (PRI) • Implementing Partner • School Management and Development Committee (for WASH infra operation & maintenance) • Child Cabinet members (for WASH infra and behaviour change) • Head Teacher and Teachers (for learning levels and school infra) • Students (primary stakeholder), etc. • Mothers' Groups
Skilling of Youth	<ul style="list-style-type: none"> • Implementing Partner • Centre Head • Companies/ organizations where placed • Students (primary stakeholder), etc.
Women Empowerment (Self-help Groups, THP and Financial Literacy)	<ul style="list-style-type: none"> • Panchayati Raj Institution (PRI) • Village influencers • Implementing partner • Women Self-help Group members (primary stakeholder) • Ultra-poor women beneficiaries (primary stakeholder) • Village pro-poor committee, etc. • Yojana Sakhis

Annexure 4 – Format for Technical Proposal

1. Agency Details

- a) Name of agency, address, Web site address and telephone number.
- b) Number of the principal office that will manage this project.
- c) Brief background of the agency and history. Include years in the sector/business and number of employees and details of projects handled.
- d) Experience details highlighting the experience and expertise of the agency relevant to the current assignment.
- e) A copy of the agency's most recent Annual Report or Financial Statement, and/or any other documentation that demonstrates financial solvency to be attached as annexure.
- f) Any additional information that the agency considers to be relevant.

2. Technical Approach and Methodology

- a) Understanding of the Scope of Work
- b) Detailed approach and methodology for undertaking the study including technical aspects; strategies; sampling methodology; research design; tools & techniques to be used; evaluation indicators (Annexure-1); statistical or economic model (*if any*) to be used for collecting, collating and analysing the data, etc.

3. Implementation Plan and Team Structure

- a) All themes for which the proposal is submitted (**Annexure-2**).
- b) Detailed implementation plan with all the phases, activities and timelines (including preparatory phase visit).
- c) Team structure and snapshot of experience, expertise, roles and responsibilities of resources assigned for the proposed study. CVs to be provided as annexure.

4. Please specify the primary Executive point of contact for the work stated in this RFP.

Annexure 5 – Format for Financial Proposal

Sl. No.	Particulars	UoM	Units (Nos.)	Unit Cost (Rs.)	Total Cost (Rs.)
1	Survey Charges	Rs.			
	a. Project Population	Nos.			
	b. Control Population	Nos.			
	c. Key Informant Interviews	Nos.			
	d. Focused Group Discussions (FGDs)	Nos.			
	e. Case Studies / Stories of Change	Nos.			
2	Service Charges	Rs.			
	a. Printing charges	Rs.			
	b. Stationary, telephone, and other miscellaneous expenses	Rs.			
	c. Others: CAPI	Rs.			
3	Professional Charges	Rs.			
	a. Resource Type 1:	Person-days			
	b. Resource Type 2:	Person-days			
	c. Resource Type 3:	Person-days			
	d. Resource Type 4:	Person-days			
	e. Resource Type 5:	Person-days			
4	Estimate excluding travel (1+2+3)	Rs.			
5	Travel Expenses	Rs.			
	a. Outstation travel	Person-days			
	b. Local Travel	Person-days			
	c. Accommodation	Nights			
	d. Food	Days			
	Total Estimate with travel (4+5) (GST rates will be extra and applied as prevailing at the time of invoicing)	Rs.			
	Timeline	Weeks			